



By Express Mail #EV410260363US

Attorney Docket No.: 5405-10

Check box if applicable: ☐ DUPLICATE

UTILITY PATENT APPLICATION TRANSMITTAL

Submit an original and a duplicate for fee processing

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dated: April 14, 2004



Mail Stop **Patent Application**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

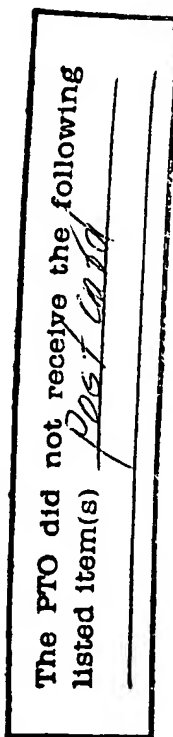
Transmitted herewith for filing is the utility patent application of:

Inventor(s): Norikazu ARAI, Shinichiro SAITO

For: Objective Optical Element, Optical Pickup Device, and Optical Information
Recording and Reproducing Device

Enclosed are:

- Transmittal letter (2x) with Fee Computation Sheet
- General Authorization For Payment of Fees (2x)
- Specification, Claims 1 to 37 & Abstract (67 pages)
- Executed Declaration and Power of Attorney (3 pp.)
- Six (6) sheet(s) of drawing(s) (Figs. 1 to 7)
- Check for \$1076.00 for filing fee
- Assignment of the invention to **Konica Minolta Opto, Inc.**
- Recordation Cover Sheet (PTO-1595)
- Check for \$40.00 for Assignment Recording Fee
- Certified copy of priority document No(s). 2003-117190
- Letter Transmitting Priority document
- Return Receipt Postcard



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This application is to be assigned to: **Konica Minolta Opto, Inc.**
2970, Ishikawa-machi, Hachioji-shi
Tokyo 192-8505 Japan

- ☐ Please charge my Deposit Account No. 03-2412 in the amount of \$. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Acct. No. 03-2412.
- ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17
 - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.
- ☒ Priority is claimed for this invention and application, corresponding applications having been filed in **Japan** on **April 22, 2003**, No. **2003-117190**.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: Thomas Langer
Thomas Langer
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FILING FEE COMPUTATION SHEET

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In re Application of: Norikazu ARAI et al.

**For: Objective Optical Element, Optical Pickup Device, and Optical Information
Recording and Reproducing Device**

The filing fee has been calculated as shown below:

FOR:	Col. 1	Col. 2	SMALL ENTITY	OTHER THAN SMALL ENTITY
	# FILED	# EXTRA		
BASIC FEE			\$385	\$770
TOTAL CLAIMS	<u>37</u> - 20 =	<u>17</u>	x 9 = \$	x 18 = \$306
INDEPENDENT CLAIMS	<u>3</u> - 3 =	<u>0</u>	x 43 = \$	x 86 = \$
<input type="checkbox"/> MULTIPLE DEPENDENCY			+\$145 = \$	+290 \$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL: \$	\$1076

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Norikazu ARAI et al.

Serial No.: n/a

Filed: concurrently

For: Objective Optical Element, Optical
Pickup Device, and Optical
Information Recording and
Reproducing Device

Check box if applicable:

☐ DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME**

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Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03-2412

- [X] Any filing fees required under 37 CFR §1.16.
- [X] Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- [X] The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- [X] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

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